

# Stocks & Shares ISA Application Form **ARJENT**

Thank you for choosing Arjent for your investment needs.

Please complete all sections as regrettably we are unable to accept incomplete applications. If a section is not applicable, please enter 'N/A'. If you require any assistance in completing any part of this form, please contact us on **020 7965 0650** between 9am to 5pm, Monday to Friday.

Please print clearly in **BLOCK** capitals

## 1. ACCOUNT NAME

Please enter the Account Name which should be as shown on page 1 of the Client Application Pack.

Account Name

This form is to be completed in respect of both ISA – Advisory Dealing accounts and ISA – Managed accounts.

## 2. INVESTMENT DETAILS

If you are making a new ISA subscription, please complete this section.

Please indicate the amount that you would like to invest: (minimum £500)

£

Please indicate how you would like to subscribe the above amount: (Please tick ONE box)

Cheque (made payable to Pershing Securities Limited)

Transfer from another Arjent account:

Please enter account number

Please confirm that you are happy to have a continuous annual subscription by ticking this box

## 3. DECLARATION

I wish to:

- a. Subscribe to a Stocks & Shares ISA for the tax year ending 5 April (enter year)  and if applicable each subsequent year until further notice or a subscription is not paid for any one full tax year ; and/or
- b. Transfer an ISA from another ISA Manager and I have completed an ISA Transfer Authority form for each transfer

I confirm:

- a. All subscriptions made and to be made, belong to me;
- b. I am aged 18 or over;
- c. I have not subscribed and will not subscribe more than the current ISA allowance in total to a Cash ISA and a Stocks & Shares ISA in the same tax year;
- d. I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this ISA; and
- e. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either performs duties which, by virtue of s.28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Arjent if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Pershing Securities Limited and/or Arjent:

- a. To hold cash subscription(s), ISA investments, interest, dividends, or any other rights or proceeds in respect of those investments and any other cash; and
- b. To make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that the information given on this Application Form is true and correct to the best of my knowledge and belief.

I confirm that I will advise Arjent of any changes in these circumstances without delay.

I declare that I have read and agree to be bound by the ISA Terms and Conditions overleaf.

Signature

X

Date

Print Name

## FOR OFFICE USE ONLY

Account executive code

Client Code

ISA account number

Checked by

**These supplementary terms and conditions are specific to ISAs and should be read in conjunction with, and form an overall part of, our standard terms and conditions.**

1. Your Individual Savings Account (your ISA) must comply with the requirements of HM Revenue & Customs, which may be subject to change from time to time. Arjent will use its reasonable endeavours to ensure that these requirements are not breached and undertakes to notify you in the event that any failure to satisfy these requirements has or will result in your ISA becoming void. Nevertheless, it is your responsibility to read and agree the eligibility declaration.
2. ISA investments will be held in the name of a nominee company controlled by Pershing Securities Limited (PSL) specifically for use in connection with ISAs operated by Arjent Limited, and securities will be held by or to its order. Further details are contained in the section headed Accounts and Transactions in our general Terms and Conditions. Subject to any written instructions received from you, ISA investments will remain in your beneficial ownership and will not be used as security for a loan.
3. Arjent may retain subscription monies pending investment or reinvestment. Interest on such amounts may be credited to your ISA in accordance with our published rates.
4. Arjent will, on receipt of clear written instructions from you and within a reasonable period:
  - a. Arrange to transfer your ISA, or part of your ISA, to another ISA manager, or
  - b. Arrange to transfer or pay you, all or part of the investments held in your ISA and proceeds arising from the sale of your investments.
5. At your request Arjent will arrange for you (subject to clause 6 below):
  - a. To receive copies of the annual reports and accounts issued by every company in which your ISA is invested
  - b. To attend shareholder's meetings
  - c. To vote in applicable corporate events
  - d. To receive in addition to the documents referred to in (a) above, any other information issued to shareholders
6. For information on charges please refer to our rate card.
7. You authorise Arjent and PSL to provide HM Revenue & Customs with all relevant particulars of your ISA and its investments which HM Revenue & Customs may request.
8. Arjent may, as an ISA manager, without notice debit your ISA when due with any fees listed in 6 above.
9. Where insufficient cash funds are held within your ISA to meet fee obligations Arjent may without notice to you realise stock held within your ISA to meet fee obligations, or any outstanding fees listed in 6 above.
10. You authorise Arjent and PSL to apply on your behalf to HM Revenue & Customs for all appropriate claims in connection with the repayment of tax in respect of qualifying investments held in your ISA and the income arising there from.
11. Your ISA will lose its tax status on your death. Upon receipt of a sealed grant of probate, your ISA will be transferred to your personal representative or as directed by them, as applicable.
12. Arjent will make investment decisions at its absolute discretion in accordance with HM Revenue & Customs regulations and guidance.
13. Arjent will exercise all reasonable care in managing the account, but you will not hold Arjent responsible for any loss or depreciation of investments arising, other than as a result of wilful default or negligence on our part.
14. You will indemnify Arjent against all claims or demands made by persons claiming to be entitled to any assets forming all or part of the account portfolio, subject always to clause 12.
15. Any dispute arising in connection with your ISA shall be governed by English Law.
16. If you subscribe to a Stocks and Shares ISA, or transfer from another ISA manager, you will have the right to cancel your ISA for 14 days from the day we open your ISA. If you cancel a subscription, the amount returned may be less than the amount invested if there has been a downward movement in the value of your investments from the date of investment to the date your instruction to cancel was received. If you do not exercise any right to cancel in the current tax year within the 14 day period you will be deemed to have subscribed to a Stocks and Shares ISA for the current tax year, preventing you from subscribing to another Stocks and Shares ISA in the same tax year. However, you have the right to make a withdrawal or close your ISA at any time.
17. The full name of our firm is Arjent Limited. Trading under the name of Arjent in relation to all stockbroking and investment management activities, we are registered in England and Wales at 25 Christopher Street, London, EC2A 2BS. Company No 4077864. Telephone number 020 7965 0650. Arjent is authorised and regulated by the Financial Services Authority (No. 197330).